



#5/A
BK
8-16-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Howard Stein

Appln. No.: 09/605,290

Filed: June 28, 2000

For: Encrypting Security Device and Process

Group Art Unit: Unknown

Examiner: Unknown

PRELIMINARY AMENDMENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope, addressed to Box _____, Commissioner for Patents, Washington D.C. 20231-9999 on July 30, 2001.
Dated: 07-30-01 By: Robin L. King

Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the above-identified application as follows:

In the Claims

Please cancel claims 4, 5, 7, and 9.

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Claims 1, 3, 8, and 10 have been amended and now read as follows:

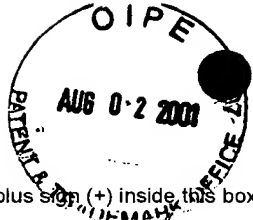
- a¹
1. (Amended) An encrypting security device comprising:
a security photograph incorporating a multiplicity of picture elements, the security photograph having an image of an object, the object having a size, the image having a size that is greater than the size of the object;
a program initialized to recognize the security photograph and allow access to an associated apparatus; and
a scanner connected with the program.

- a²
3. (Amended) The encrypting security device of claim 1, wherein the associated apparatus is selected from a group including a vehicle, a safe, a cash register, a locking system of a building, and an alarm system of a building.

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Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/605,290	
	Filing Date	June 28, 2000	
	First Named Inventor	Howard Stein	
	Group Art Unit	2766	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	11	Attorney Docket Number	075500-0265915 (HS-1)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment (Preliminary) <input type="checkbox"/> After Final (Response) <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Certificate of Mailing Check for \$40.00
Remarks		Please charge any deficiencies in fees or overpayment to Deposit Account No. 03-3975 (a copy of this transmittal is enclosed for this purpose).

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Mark C. Pickering, Reg. No. 36,239	RECEIVED AUG 07 2001 Technology Center 2100
Signature		
Date	July 30, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: July 30, 2001		
Typed or printed name	Robin L. King	
Signature		Date July 30, 2001

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FEE TRANSMITTAL

For FY 2001

Patent Fees are subject to annual revision.



TOTAL AMOUNT OF PAYMENT

\$40

Complete if Known

Application Number

09/605,290

Filing Date

June 28, 2000

First Named Inventor

Howard Stein

Examiner Name

Unknown

Group Art Unit

2766

Attorney Document No.

075500-0265915 (HS-1)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

03-3975

PILLSBURY WINTHROP LLP

Deposit Account Number & Deposit Account Name

- ☒ Charge any additional fees required under 37 CFR §§ 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

2. X Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

LARGE ENTITY		SMALL ENTITY		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility	
106	320	206	160	Design	
107	490	207	245	Plant	
108	710	208	355	Reissue	
114	150	214	75	Provisional	

SUBTOTAL (1)

0

2. EXTRA CLAIM FEES

Total Claims	20 - 20 **	Extra Claims	Fee from below	Fee Paid
Independent	5 - 3	= 2	x 40	= \$
Multiple Dep.			*	= \$ *

** or number previously paid, if greater; for Reissues, see below:

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claim in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue ind. claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$40

FEE CALCULATION (continued)

3. Additional Fees

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee	
139	130	139	130	Non-English specification	
147	2520	139	2520	Filing a request for re-examination	
112	920*	112	920*	Req. publ. of SIR prior to Ex. Action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1 st mo.	
116	390	216	195	Extension for reply within 2 nd mo.	
117	890	217	445	Extension for reply within 3 rd mo.	
118	1390	218	695	Extension for reply within 4 th mo.	
128	1890	228	945	Extension for reply with 5 th mo.	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Pet to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	50	Petition related to provisional apps.	
126	180	126	180	Submission of IDS Statement	
581	40	581	40	Recording each patent assignment per property (x no. of properties)	
146	710	246	355	Filing a submission after final rej.	
149	710	249	355	For each add'l. invention to be examined (37 C.F.R. § 1.129(b))	
179	710	279	355	Request for Continued Exam. (RCE)	
169	900	169	900	Req. for expedited exam. of a design app.	

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

\$0

SUBMITTED BY

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